



# Relating Foliations and Dynamical Systems

---

Jeff Ford

Gustavus Adolphus College

# Table of contents

1. Introduction
2. Foliations
3. Relating foliations and dynamics

# Introduction

---

Given a topological space  $X$ , a *dynamical system* is a triple  $(X, \mathbb{R}, \pi)$ , where  $\pi$  is a continuous map  $\pi : \mathbb{R} \times X \rightarrow X$ , such that, for all  $x \in X$ , and  $t_1, t_2 \in \mathbb{R}$ ,

- $\pi(0, x) = x$
- $\pi(t_1, \pi(t_2, x)) = \pi(t_1 + t_2, x)$ .

Given a topological space  $X$ , a *dynamical system* is a triple  $(X, \mathbb{R}, \pi)$ , where  $\pi$  is a continuous map  $\pi : \mathbb{R} \times X \rightarrow X$ , such that, for all  $x \in X$ , and  $t_1, t_2 \in \mathbb{R}$ ,

- $\pi(0, x) = x$
- $\pi(t_1, \pi(t_2, x)) = \pi(t_1 + t_2, x)$ .

The space  $X$  is called the *phase space* and the map  $\pi$  is the *phase map*. We may use  $tx = \pi(t, x)$  for brevity.

# Dynamical Systems

Given a topological space  $X$ , a *dynamical system* is a triple  $(X, \mathbb{R}, \pi)$ , where  $\pi$  is a continuous map  $\pi : \mathbb{R} \times X \rightarrow X$ , such that, for all  $x \in X$ , and  $t_1, t_2 \in \mathbb{R}$ ,

- $\pi(0, x) = x$
- $\pi(t_1, \pi(t_2, x)) = \pi(t_1 + t_2, x)$ .

The space  $X$  is called the *phase space* and the map  $\pi$  is the *phase map*.

We may use  $tx = \pi(t, x)$  for brevity.

For purposes of this talk, we will use  $\mathbb{R}^2$  or  $\mathbb{R}^3$  as our phase space.

For a given point  $x \in X$ ,

- *orbit* -  $\gamma(x) = \{tx : t \in \mathbb{R}\}$ .

For a given point  $x \in X$ ,

- *orbit* -  $\gamma(x) = \{tx : t \in \mathbb{R}\}$ .
- We also refer to the orbit as the *trajectory*.
- If there are no fixed points (where  $tx = x$  for all  $t \in \mathbb{R}$ ), then the dynamical system is *non-singular*.



# Ways to describe a dynamical system

- Parametric equations

# Ways to describe a dynamical system

- Parametric equations
- Potential function

# Ways to describe a dynamical system

- Parametric equations
- Potential function
- Vector Field

# Ways to describe a dynamical system

- Parametric equations
- Potential function
- Vector Field

## Parametric Example

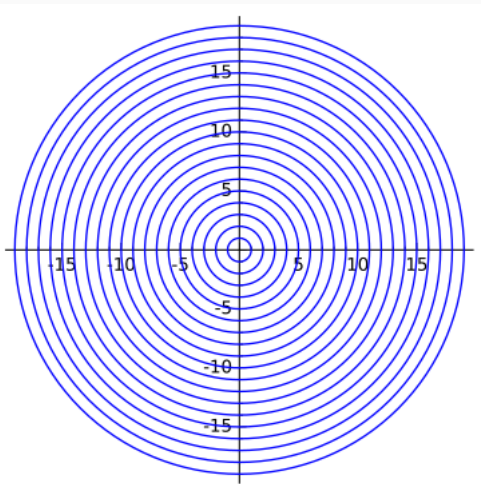
Suppose we have the dynamical system from the function

$$\pi(t, x, y) = \left( \sqrt{x^2 + y^2} \cos(t), \sqrt{x^2 + y^2} \sin(t) \right).$$

## Parametric Example

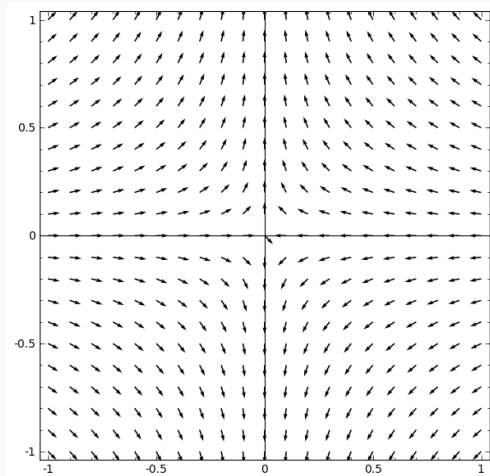
Suppose we have the dynamical system from the function

$$\pi(t, x, y) = \left( \sqrt{x^2 + y^2} \cos(t), \sqrt{x^2 + y^2} \sin(t) \right).$$



# Vector Field Example

$$\dot{x} = -x \text{ and } \dot{y} = y$$



## Potential function example

In polar coordinates, consider the potential function

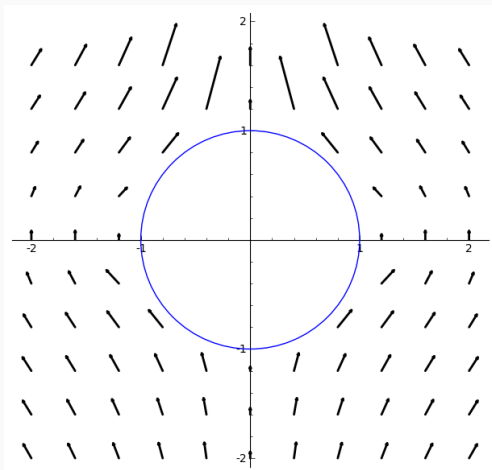
$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$



## Potential function example

In polar coordinates, consider the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$



- Suppose that  $X$  is our phase space, and  $A \subset X$

# Volume-preserving Dynamics

- Suppose that  $X$  is our phase space, and  $A \subset X$
- We can introduce a way to measure the *volume* of  $A$ .

# Volume-preserving Dynamics

- Suppose that  $X$  is our phase space, and  $A \subset X$
- We can introduce a way to measure the *volume* of  $A$ .
- Since we're in  $\mathbb{R}^2$  or  $\mathbb{R}^2$  here, let's go with the usual area and volume here.

# Volume-preserving Dynamics

- Suppose that  $X$  is our phase space, and  $A \subset X$
- We can introduce a way to measure the *volume* of  $A$ .
- Since we're in  $\mathbb{R}^2$  or  $\mathbb{R}^2$  here, let's go with the usual area and volume here.
- Call our function  $\mu : X \rightarrow \mathbb{R}$ , that takes in a subset of  $X$ , and returns a real number for the volume.

# Volume-preserving Dynamics

- Suppose that  $X$  is our phase space, and  $A \subset X$
- We can introduce a way to measure the *volume* of  $A$ .
- Since we're in  $\mathbb{R}^2$  or  $\mathbb{R}^3$  here, let's go with the usual area and volume here.
- Call our function  $\mu : X \rightarrow \mathbb{R}$ , that takes in a subset of  $X$ , and returns a real number for the volume.
- If for any  $t \in \mathbb{R}$ ,  $\mu(A) = \mu(tA)$ , then our dynamical system *volume-preserving*.

## Example

Return to the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$

## Example

Return to the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$

- This describes the flow in the plane which avoids a disk of radius 1, centered at the origin.



## Example

Return to the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$

- This describes the flow in the plane which avoids a disk of radius 1, centered at the origin.
- We can verify that this flow is *divergence-free*. This means that, in Cartesian coordinates,

$$\frac{\partial^2 \varphi}{\partial x^2} + \frac{\partial^2 \varphi}{\partial y^2} + \frac{\partial^2 \varphi}{\partial z^2} = 0$$

## Example

Return to the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$

- This describes the flow in the plane which avoids a disk of radius 1, centered at the origin.
- We can verify that this flow is *divergence-free*. This means that, in Cartesian coordinates,

$$\frac{\partial^2 \varphi}{\partial x^2} + \frac{\partial^2 \varphi}{\partial y^2} + \frac{\partial^2 \varphi}{\partial z^2} = 0$$

- In polar coordinates, we use Laplace's Equation.

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \varphi}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 \varphi}{\partial \theta^2} + \frac{\partial^2 \varphi}{\partial z^2} = 0$$

## Example

Return to the potential function

$$\varphi(r, \theta) = \left(r + \frac{1}{r}\right) \cos \theta.$$

- This describes the flow in the plane which avoids a disk of radius 1, centered at the origin.
- We can verify that this flow is *divergence-free*. This means that, in Cartesian coordinates,

$$\frac{\partial^2 \varphi}{\partial x^2} + \frac{\partial^2 \varphi}{\partial y^2} + \frac{\partial^2 \varphi}{\partial z^2} = 0$$

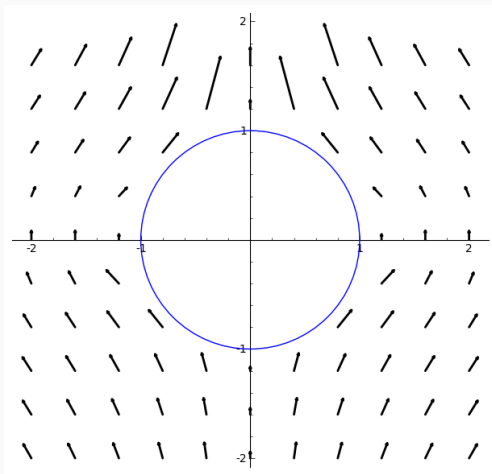
- In polar coordinates, we use Laplace's Equation.

$$\frac{1}{r} \frac{\partial}{\partial r} \left( r \frac{\partial \varphi}{\partial r} \right) + \frac{1}{r^2} \frac{\partial^2 \varphi}{\partial \theta^2} + \frac{\partial^2 \varphi}{\partial z^2} = 0$$

- It can be shown that this is equivalent to preserving volume, or in this 2-dimensional case, preserving area.

## Example

Our flow does satisfy this condition, and hence, it preserves area.



What does it mean to be a *PL* phase space?

What does it mean to be a *PL* phase space?

- Start with a phase space.

What does it mean to be a *PL* phase space?

- Start with a phase space.
- Subdivide into simplices.

What does it mean to be a *PL* phase space?

- Start with a phase space.
- Subdivide into simplices.
- In the end, you have a triangulation.

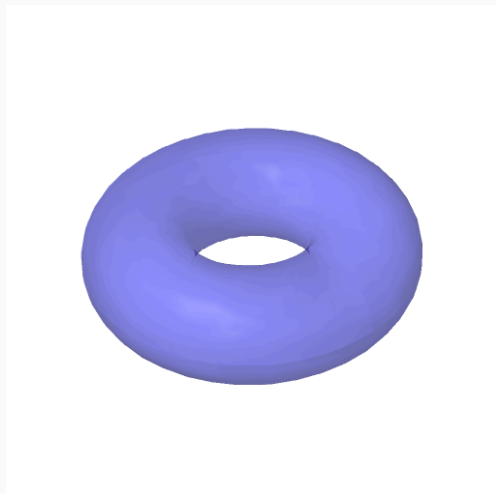


## Examples

Let's try just a torus.

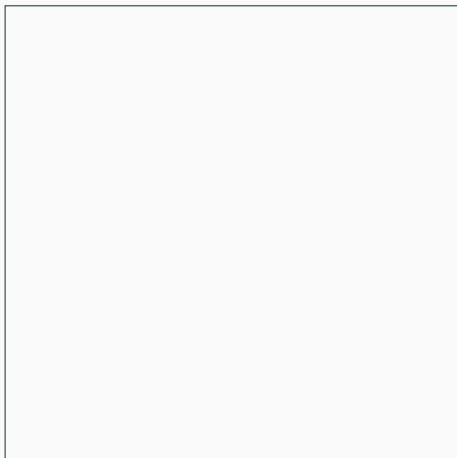
# Examples

Let's try just a torus.



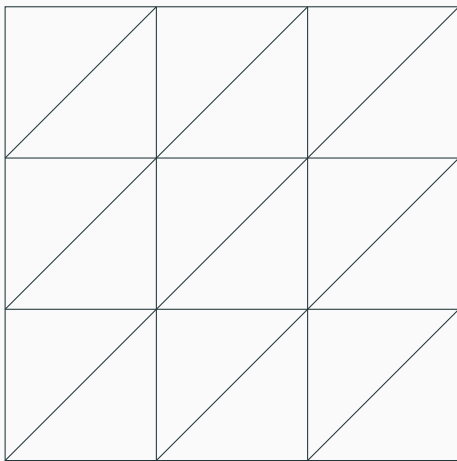
# Examples

Let's try just a torus.



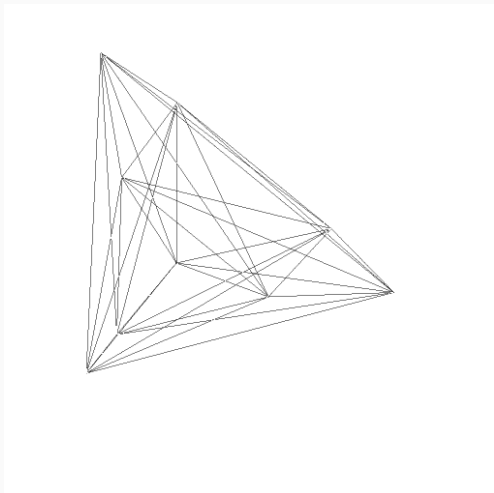
# Examples

Let's try just a torus.



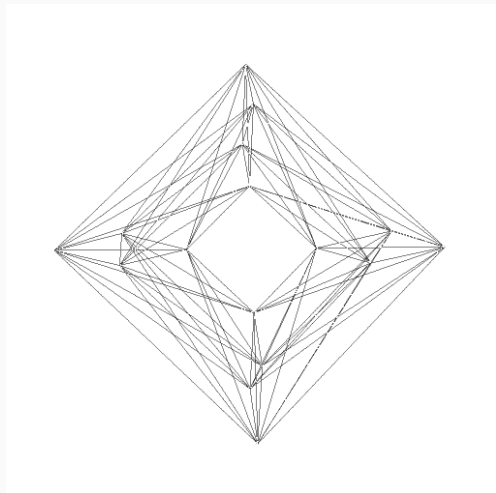
# Examples

Let's try just a torus.



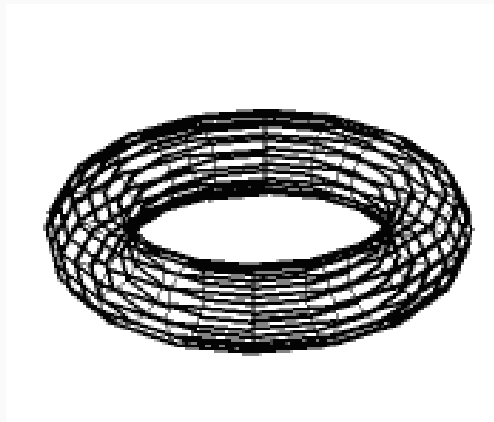
# Examples

Let's try just a torus.



# Examples

Let's try just a torus.



# Examples

Let's try just a torus.





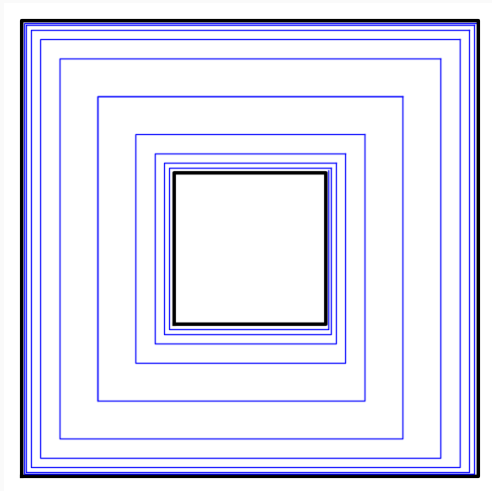
Given a phase space  $X$ , and a dynamical system  $(X, \mathbb{R}, \pi)$ , the system is *piecewise-linear* if the trajectories are linear on each simplex in the triangulation of  $X$ .

## *PL* dynamics examples

Consider a 4-fold approximation of an annulus, with all trajectories spiraling in towards the center of the annulus.

## *PL* dynamics examples

Consider a 4-fold approximation of an annulus, with all trajectories spiraling in towards the center of the annulus.



# Foliations

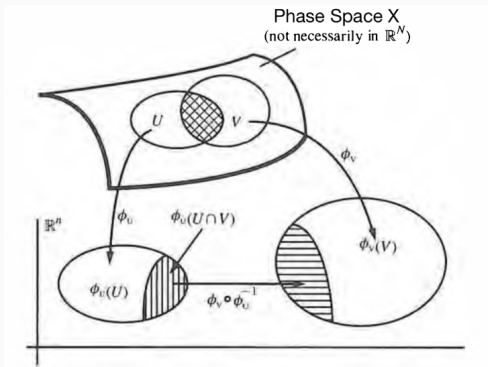
---

# 1-foliations

Let  $S$  be an *atlas* on a phase space  $X$ , that is, a collection of open sets and maps,  $(U_i, \varphi_i)$ , where  $\varphi_i : U_i \rightarrow \mathbb{R}^n$  is a smooth embedding, and the set of all  $U_i$  covers  $M$ , with  $\varphi_i$  and  $\varphi_j$  agreeing on their overlap.

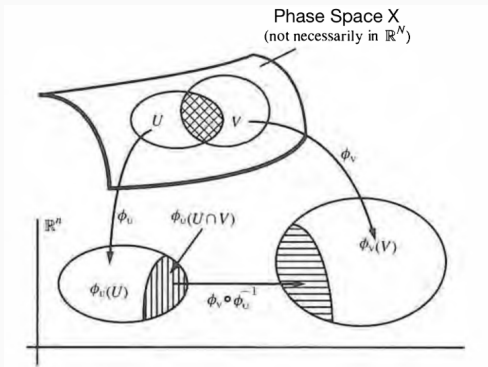
# 1-foliations

Let  $S$  be an *atlas* on a phase space  $X$ , that is, a collection of open sets and maps,  $(U_i, \varphi_i)$ , where  $\varphi_i : U_i \rightarrow \mathbb{R}^n$  is a smooth embedding, and the set of all  $U_i$  covers  $M$ , with  $\varphi_i$  and  $\varphi_j$  agreeing on their overlap.



# 1-foliations

Let  $S$  be an *atlas* on a phase space  $X$ , that is, a collection of open sets and maps,  $(U_i, \varphi_i)$ , where  $\varphi_i : U_i \rightarrow \mathbb{R}^n$  is a smooth embedding, and the set of all  $U_i$  covers  $M$ , with  $\varphi_i$  and  $\varphi_j$  agreeing on their overlap.



Each pair  $(U_i, \varphi_i) \in S$  is known as a *chart*.

# 1-foliations

Fix some indexing set  $A$ . Let  $\mathcal{F} = \{L_\alpha : \alpha \in A\}$  be a collection of arcwise connected subsets of  $M$ .  $\mathcal{F}$  is a *1-dimensional foliation of  $M$*  if

- (i)  $L_\alpha \cap L_\beta = \emptyset$  for  $\alpha \neq \beta$
- (ii)  $\bigcup_{\alpha \in A} L_\alpha = M$ .
- (iii) Given any point  $p \in M$ , there exists a chart of  $(U_\lambda, \varphi_\lambda)$  about  $p$ , such that for  $L_\alpha$  with  $L_\alpha \cap U_\lambda \neq \emptyset$ , each path component of  $\varphi(L_\alpha \cap U_\lambda)$  is of the form

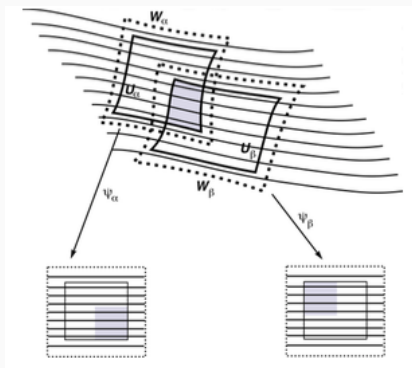
$$\{x_1 \in \varphi_\lambda(U_\lambda) : x_2 = c_1, x_3 = c_2, \dots, x_n = c_{n-1}\}$$

where each  $c_i$  is a constant determined by  $L_\alpha$ .

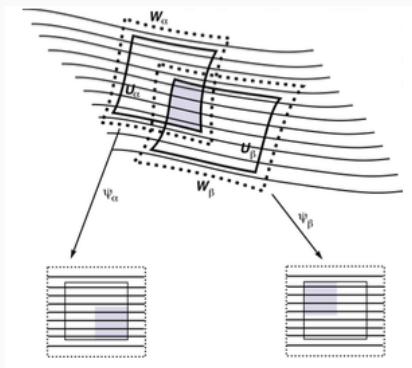


Each  $L_\alpha$  is a *leaf* of the foliation  $\mathcal{F}$ . We can view the embeddings as splitting  $\mathbb{R}^n$  into two pieces,  $\mathbb{R}$  and  $\mathbb{R}^{n-1}$ . On  $\mathbb{R}$ , the coordinates of the embedding vary with  $L_\alpha$ , but on  $\mathbb{R}^{n-1}$ , the coordinates are fixed.

Each  $L_\alpha$  is a *leaf* of the foliation  $\mathcal{F}$ . We can view the embeddings as splitting  $\mathbb{R}^n$  into two pieces,  $\mathbb{R}$  and  $\mathbb{R}^{n-1}$ . On  $\mathbb{R}$ , the coordinates of the embedding vary with  $L_\alpha$ , but on  $\mathbb{R}^{n-1}$ , the coordinates are fixed.

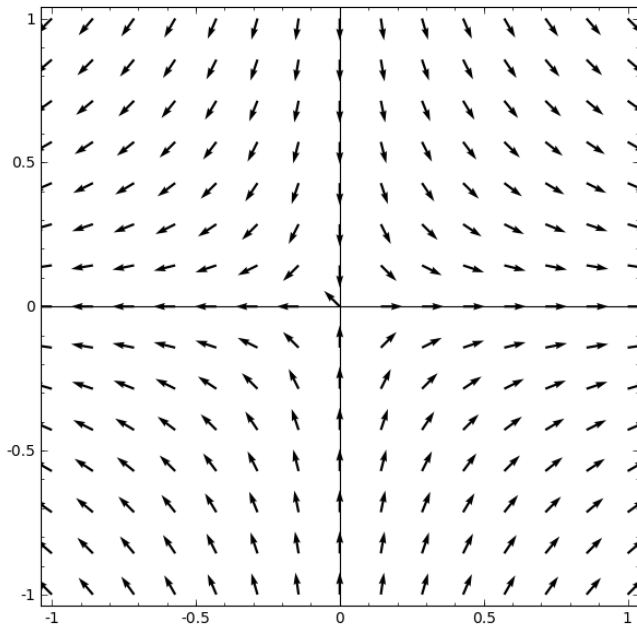


Each  $L_\alpha$  is a *leaf* of the foliation  $\mathcal{F}$ . We can view the embeddings as splitting  $\mathbb{R}^n$  into two pieces,  $\mathbb{R}$  and  $\mathbb{R}^{n-1}$ . On  $\mathbb{R}$ , the coordinates of the embedding vary with  $L_\alpha$ , but on  $\mathbb{R}^{n-1}$ , the coordinates are fixed.

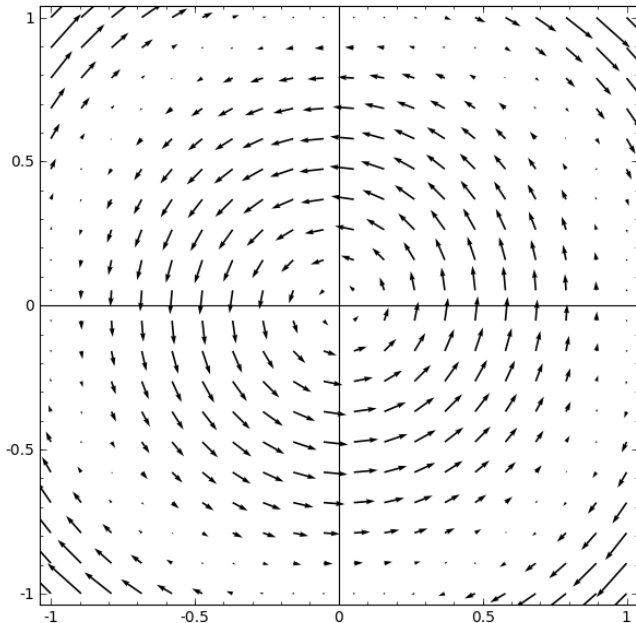


We say the foliation is *oriented* if we choose a direction in which we can move on the leaves.

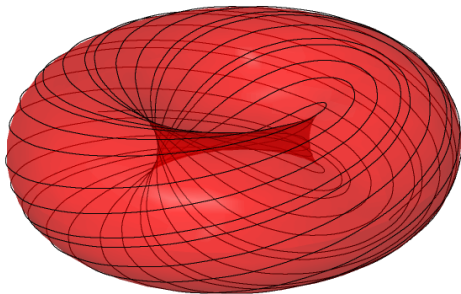
# Examples



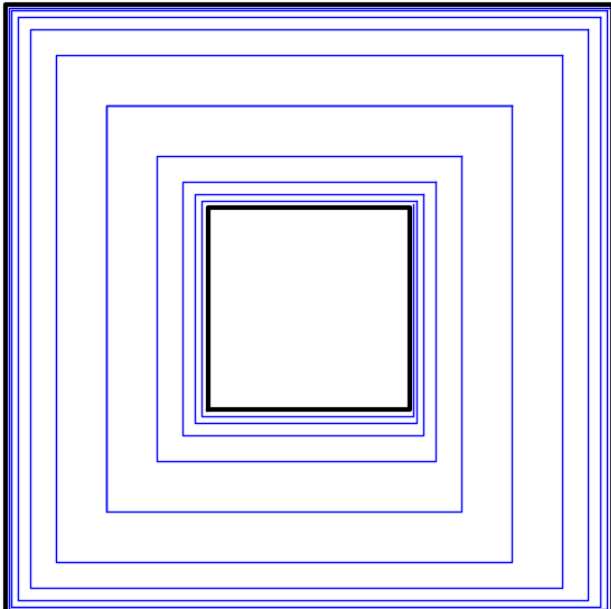
# Examples



# Examples



# Examples



Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?



Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?

- Start with a foliation of  $X$ .

Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?

- Start with a foliation of  $X$ .
- Divide  $X$  up into little subsets, called *flow boxes*.

Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?

- Start with a foliation of  $X$ .
- Divide  $X$  up into little subsets, called *flow boxes*.
- The flow boxes should be small enough that, inside of a box, the leaves of the foliation only move in one direction.

Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?

- Start with a foliation of  $X$ .
- Divide  $X$  up into little subsets, called *flow boxes*.
- The flow boxes should be small enough that, inside of a box, the leaves of the foliation only move in one direction.
- On each box a subset of  $X$  which is not parallel to any leaf, is a *small transversal*.

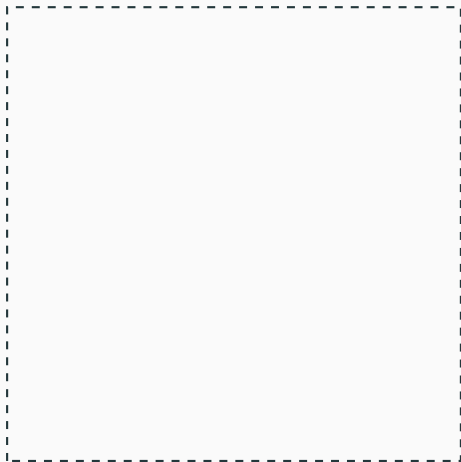
# Measured-foliations

Can we come up with an idea, similar to volume-preserving dynamical systems, for a foliation?

- Start with a foliation of  $X$ .
- Divide  $X$  up into little subsets, called *flow boxes*.
- The flow boxes should be small enough that, inside of a box, the leaves of the foliation only move in one direction.
- On each box a subset of  $X$  which is not parallel to any leaf, is a *small transversal*.
- We need a function  $\eta$  which assigns a real number to each small transversal.

# Examples

Start with a box



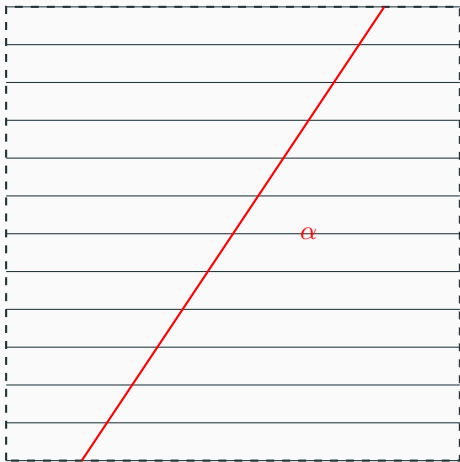
## Examples

Only one coordinate changes on each leaf.

A diagram of a binary tree structure. The root node is at the top, and the tree branches downwards. The tree is represented by a vertical dashed line on the left and right sides, with horizontal solid lines representing the edges between nodes. There are 11 leaf nodes, each represented by a horizontal solid line. The tree is a full binary tree with 11 leaf nodes, which is not a power of 2, suggesting it might be a search tree for a specific problem.

## Examples

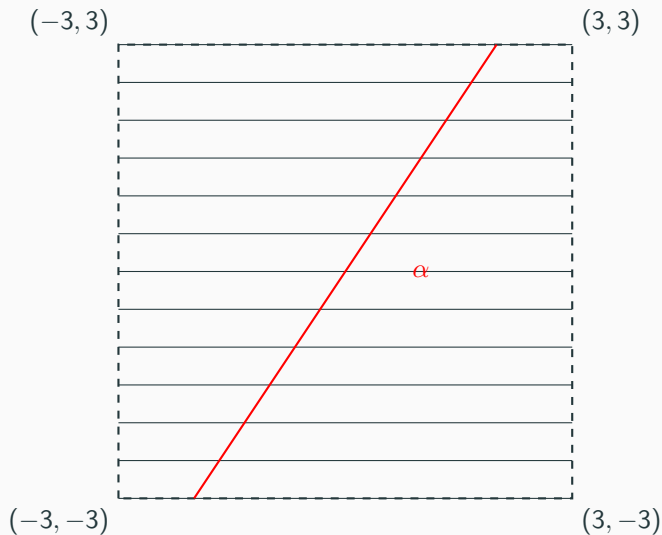
Add in a transversal, which we call  $\alpha$ .





# Examples

Throw in some coordinates, and I declare  $\eta(\alpha) = 6$

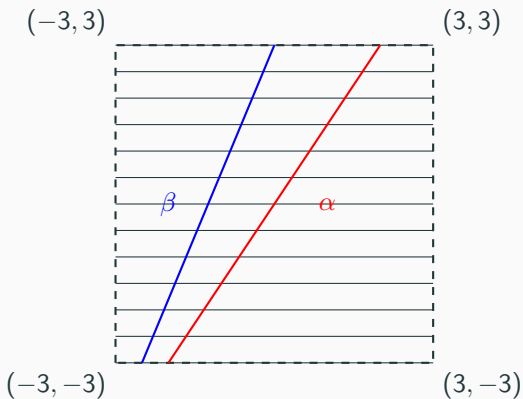


# Isotopies of transversals

Given two transversals  $\alpha$  and  $\beta$ , we say  $\alpha$  is *isotopic* to  $\beta$ , if  $\alpha$  can be moved to  $\beta$ , with both endpoints staying on leaves.

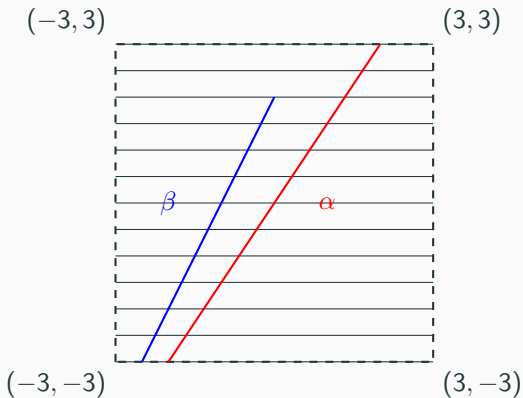
# Isotopies of transversals

Given two transversals  $\alpha$  and  $\beta$ , we say  $\alpha$  is *isotopic* to  $\beta$ , if  $\alpha$  can be moved to  $\beta$ , with both endpoints staying on leaves.



# Isotopies of transversals

Given two transversals  $\alpha$  and  $\beta$ , we say  $\alpha$  is *isotopic* to  $\beta$ , if  $\alpha$  can be moved to  $\beta$ , with both endpoints staying on leaves.



Given a space  $X$ , and a foliation  $\mathcal{F}$  on  $X$ , we say that  $\mathcal{F}$  is a *measured-foliation* with *measure*  $\eta$ , if, for any two isotopic small transversals  $\alpha$  and  $\beta$ ,

$$\eta(\alpha) = \eta(\beta).$$

## Relating foliations and dynamics

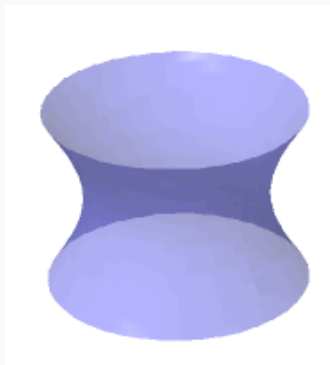
---

## Volume-preserving dynamics $\Rightarrow$ measured-foliation

Let's take a hyperboloid of one sheet  $X$ , with parametric equations

- $x(u, v) = \sqrt{u^2 + 1} \cos(v)$
- $y(u, v) = \sqrt{u^2 + 1} \sin(v)$
- $z(u, v) = u$

for  $u \in (-1, 1)$  and  $v \in [0, 2\pi)$



For each  $(x, y, z) \in X$ , define

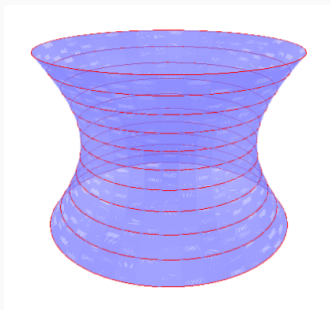
$$\pi(t, (x, y, z)) = \left( \sqrt{z^2 + 1} \cos(\tan^{-1} y/x + t), \sqrt{z^2 + 1} \sin(\tan^{-1} y/x + t), z \right)$$



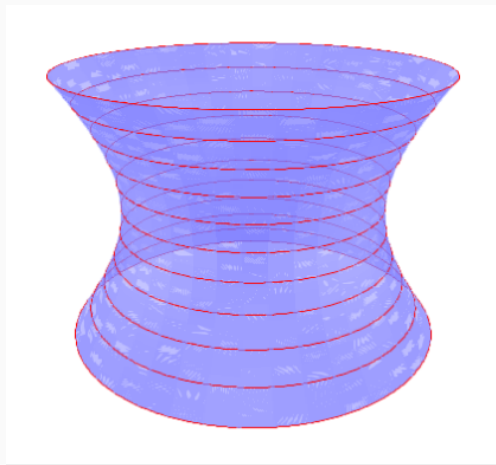
For each  $(x, y, z) \in X$ , define

$$\pi(t, (x, y, z)) = \left( \sqrt{z^2 + 1} \cos(\tan^{-1} y/x + t), \sqrt{z^2 + 1} \sin(\tan^{-1} y/x + t), z \right)$$

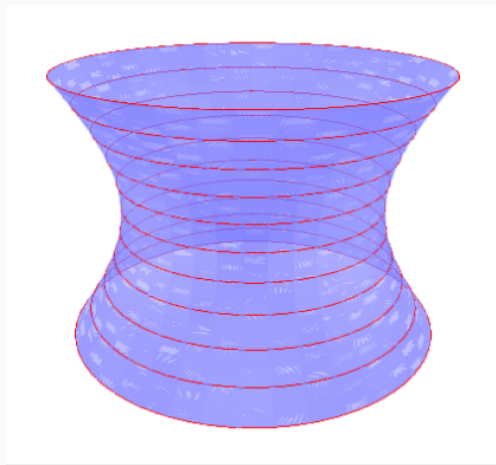
We can check that this is a dynamical system (by being careful with the arctangent, and that the trajectories on  $X$  look like this.



We can also check that this dynamical system is volume-preserving. Not too tough.

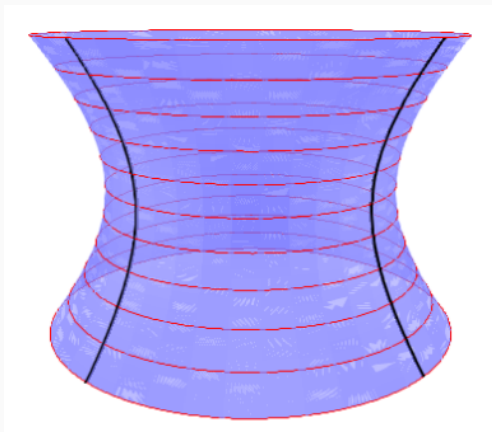


We can also check that this dynamical system is volume-preserving. Not too tough.



But it can also be used to make a measured-foliation, with each trajectory corresponding to a leaf.

We can also check that this dynamical system is volume-preserving. Not too tough.



But it can also be used to make a measured-foliation, with each trajectory corresponding to a leaf.

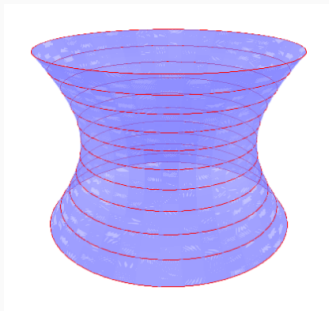
## Measured foliations $\Rightarrow$ volume-preserving dynamics

What about the other direction?

# Measured foliations $\Rightarrow$ volume-preserving dynamics

What about the other direction?

- Start with a measured foliation.
- The change in all but one coordinate is preserved when we move between isotopic transversals.
- For each leaf, calculate it's length.
- Adjust the speed of the dynamical system so that the change in the remaining coordinate is preserved.



## So what is this good for?

Here's a problem I needed to solve recently.

## So what is this good for?

Here's a problem I needed to solve recently.

Can we find a volume-preserving, non-singular,  $PL$  dynamical system on a cylinder, with a solid torus missing from the inside of the cylinder, where the leaves around the missing torus are circles, and the leaves on the outside boundary of the cylinder are vertical lines?



## So what is this good for?

Here's a problem I needed to solve recently.

Can we find a volume-preserving, non-singular,  $PL$  dynamical system on a cylinder, with a solid torus missing from the inside of the cylinder, where the leaves around the missing torus are circles, and the leaves on the outside boundary of the cylinder are vertical lines?

Good luck building that directly from a dynamical system!

## So what is this good for?

Here's a problem I needed to solve recently.

Can we find a volume-preserving, non-singular,  $PL$  dynamical system on a cylinder, with a solid torus missing from the inside of the cylinder, where the leaves around the missing torus are circles, and the leaves on the outside boundary of the cylinder are vertical lines?

Good luck building that directly from a dynamical system!

It's still not exactly easy with a foliation, but at least it's possible!